

Wire Transfer Compliance

LIVE WEBINAR presented: **Thursday, August 4, 2011-- 2:00 – 3:30 pm CST | 1:00 – 2:30 pm MTN**

Wire transfer is one of your bank's most vulnerable areas in terms of fraud, money laundering, and other illegal activities. Therefore, it is not surprising that there are so many laws addressing wire transfer security and monitoring. Regardless of your bank's size, you are required to comply with wire transfer regulations.

This webinar will provide an overview of those requirements and practical suggestions for those responsible for this arduous task. As an added benefit, the webinar handouts will include sample forms to help capture required information; sample computer spreadsheets to help with recordkeeping, monitoring, and data retrieval; a sample risk assessment; and an audit questionnaire to help assess whether all requirements are being met.

HIGHLIGHTS

- **Wire transfer safety and soundness issues**
- **Regulation J & UCC-4A**
- **Bank Secrecy Act recordkeeping and monitoring**
- **OFAC screening**
- **USA PATRIOT Act 314(a) information sharing**
- **Regulation GG Internet Gambling**

WHO SHOULD ATTEND?

This informative session would best suit BSA officers, compliance officers, internal auditors, and employees who handle wire transfers.

ABOUT THE PRESENTER – Rhonda Hudson, Compliance +, Inc.

Click here to view BIO: <http://www.financialedinc.com/presenters/rhondahudson.html>

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